

PR Number: 00PR04640-00

Previous PR Number: 99PR03209-00

Title: Appearance-based Vision for Complex Systems

Award Number: N000149510521 Short Contractor Code: mryc Modification Number: P00008 Size and Type of Business: 1s

Nonprofit-Educ./Not Historically

Black/State Controlled

P.I. Name: Azriel Rosenfeld

Performer: UNIVERSITY OF MARYLAND AT COLLEGE PARK

2100 LEE BUILDING

COLLEGE PARK, MD 207425141

P.O. Name: Harold Hawkins

P.O. Code: 342PS P.O. Phone: (703) 696-4323

Point of Contact: Gilmore Jeff Phone: (310) 647-4836

Grants Officer:

Current Award Start Date: 01-MAR-95
Current Award End Date: 31-MAY-00
P.O. Requested Start Date: 01-FEB-00
P.O. Requested End Date: 31-MAY-00

PR Type: Option TF

Internal Coordinator(s):
Phone:

Instrument Type: Grant
Basis For Selection: (rfp)

CBD Date:

Current Funding:

 ACRN
 APPN
 SBHD
 OBJ
 RM
 BCN
 SA
 AAA
 TT
 PAA
 CODE
 AMOUNT

 AL
 9700400
 WBBB
 000
 RA
 36
 9068342
 2D
 000000 R1103 000 URIC
 \$287,000.00

FRC: URIC Expiration: 30-SEP-00

AM 9700400 WBBB 000 RA 36 9 068342 2D 000000 R1103 000 URIQ \$288,000.00

FRC: URIQ Expiration: 30-SEP-00

Future Funding:

FY FRC NEG START DATE AMOUNT

PR Status: Approved (Active)

Equipment Cost (Current Year): \$ .00

SCOTTL / PRODUCT 04-JAN-2000 00PR04640-00

 Cumulative Total Award Value:
 \$ 5,750,000.00

 Total Value of PR:
 \$ 575,000.00

 Increment Value:
 \$ 575,000.00

 Modification Negotiated Value:
 \$ 5,801,580.24

### Short Work Statement

Two novel approaches to appearance-based vision will be investigated in this Multidisciplinary URI, featuring integrated treatment of objects and backgrounds in images. Using these approaches, algorithms will be developed for recognition of humans in action and recognition of vehicles.

# BPS: Submission, Evaluation, Cost

This proposal was received and evaluated in accordance with the DoD Multidisciplinary Research Program of the University Research Initiative announcement of 02 June 1994 published in the COMMERCE BUSINESS DAILY. Grant award is recommended based on evaluation of the proposal in accordance with the broad agency announcement. Financial Considerations: Labor - The proposed quantities and types of labor (including any consultants and labor provided by subgrantee) are reasonable and necessary for accomplishing the research project. The proposed labor rates are in line with standard academic or industrial practice for research of this type. Any consulting at a rate in excess of \$500.00 per day is justified in the "Additional Consultant Information" text item.

### BPS: Submission, Evaluation, Cost

Other Direct Costs- Other proposed direct costs (such as supplies, equipment, ADP, travel, etc.) are reasonable and necessary for accomplishing the research project. I have reviewed and accepted the proposed budget subject to the grant/contracting officer's verification of rates in indirect costs. Where changes to the original budget were necessary I have had the principal investigator submit a revised budget through official university channels and that revised budget is enclosed. The proposal appears to be fair, reasonable, in the interest of the government, and is consistent with other previous and current efforts of this nature.

### Extended Work Statement

Two novel approaches to appearance-based vision will be investigated in this Multidisciplinary URI, one featuring integrated treatment of objects, the other of backgrounds in images. Using these approaches, algorithms will be developed for two applications: recognition of humans in action and recognition of vehicles.

SCOTTL / PRODUCT 04-JAN-2000 00PR04640-00



PR Number: 99PR03209-00

Previous PR Number: 98PR02995-02

Title: Appearance-based Vision for Complex Systems

Award Number: N000149510521 Short Contractor Code: mryc
Modification Number: P00007 Size and Type of Business: 1s

Nonprofit-Educ./Not Historically

Black/State Controlled

P.I. Name: Azriel Rosenfeld

Performer: UNIVERSITY OF MARYLAND AT COLLEGE PARK

2100 LEE BUILDING

COLLEGE PARK, MD 207425141

P.O. Name: Harold Hawkins

**P.O. Code:** 342PS

**P.O. Phone:** (703) 696-4323

Point of Contact: Chalmers S. Phone: () 175-

Grants Officer:

Current Award Start Date: 01-MAR-95
Current Award End Date: 28-FEB-99
P.O. Requested Start Date: 15-DEC-98
P.O. Requested End Date: 31-MAY-00

PR Type: Option

Internal Coordinator(s):
Phone:

Instrument Type: Grant
Basis For Selection: (rfp)

CBD Date:

Current Funding:

PA COST
ACRN APPN SBHD OBJ RM BCN SA AAA TT PAA CODE AMOUNT
AJ 9790400 WBBB 000 RA 35 3 068342 2D 000000 R1103 000 URIQ \$575,000.00

**ERC:** URIQ Expiration: 30-SEP-99

#AK 9790400 WBBB 000 RA 35 3 068342 2D 000000 R1103 000 URIC \$575,000.00

FRC: URIC Expiration: 30-SEP-99

Future Funding:

 FY
 FRC
 NEG START DATE
 AMOUNT

 2000
 30-NOV-99 \$ 575,000.00

PR Status: Approved (Active)

Equipment Cost (Current Year): \$ .00

SCOTTL / PRODUCT 08-DEC-1998 99PR03209-00

 Cumulative Total Award Value:
 \$ 5,750,000.00

 Total Value of PR:
 \$ 1,150,000.00

 Increment Value:
 \$ 1,150,000.00

 Modification Negotiated Value:
 \$ 5,674,709.00

#### Short Work Statement

Two novel approaches to appearance-based vision will be investigated in this Multidisciplinary URI, featuring integrated treatment of objects and backgrounds in images. Using these approaches, algorithms will be developed for recognition of humans in action and recognition of vehicles.

### BPS: Submission, Evaluation, Cost

This proposal was received and evaluated in accordance with the DoD Multidisciplinary Research Program of the University Research Initiative announcement of 02 June 1994 published in the COMMERCE BUSINESS DAILY. Grant award is recommended based on evaluation of the proposal in accordance with the broad agency announcement. Financial Considerations: Labor - The proposed quantities and types of labor (including any consultants and labor provided by subgrantee) are reasonable and necessary for accomplishing the research project. The proposed labor rates are in line with standard academic or industrial practice for research of this type. Any consulting at a rate in excess of \$500.00 per day is justified in the "Additional Consultant Information" text item.

# BPS: Submission, Evaluation, Cost

Other Direct Costs- Other proposed direct costs (such as supplies, equipment, ADP, travel, etc.) are reasonable and necessary for accomplishing the research project. I have reviewed and accepted the proposed budget subject to the grant/contracting officer's verification of rates in indirect costs. Where changes to the original budget were necessary I have had the principal investigator submit a revised budget through official university channels and that revised budget is enclosed. The proposal appears to be fair, reasonable, in the interest of the government, and is consistent with other previous and current efforts of this nature.

#### Comments

This is a MURI award.

### Extended Work Statement

Two novel approaches to appearance-based vision will be investigated in this Multidisciplinary URI, one featuring integrated treatment of objects, the other of backgrounds in images. Using these approaches, algorithms will be developed for two applications: recognition of humans in action and recognition of vehicles.

SCOTTL / PRODUCT 08-DEC-1998 99PR03209-00

# Marshall, Sharon

From:

Hawkins, Harold

Sent:

Thursday, April 30, 1998 9:00 AM

To:

Marshall, Sharon

Subject:

RE: Extending period of performance for N00014-95-1-0521

Sharon: The answer to both questions is yes. Harold Hawkins

Harold Hawkins, Ph.D Office of Naval Research 800 N. Quincy Street Arlington, VA 22217 Tel #: 703-696-4323 Fax #: 703-696-1212 email: hawkinh@onr.navy.mil

—Original Message-

From: Marshall, Sharon

Sent:

Thursday, April 30, 1998 8:21 AM Hawkins, Harold Marshall, Sharon

To:

Cc: Subject:

FW: Extending period of performance for N00014-95-1-0521

SECOND REQUEST. PLEASE RESPOND ASAP FOR PROCESSING. THANKS IN ADVANCE. SHARON

Sharon L. Marshall

Q9YI 254

(703) 696-2607

e-mail address: marshasl@onr.navy.mil

-Original Message-

From:

Sent:

Marshall, Sharon Tuesday, April 28, 1998 2:57 PM Hawkins, Harold

To:

Marshall, Sharon

Subject:

RE: Extending period of performance for N00014-95-1-0521

#### Harold.

I have a couple of questions.

- 1. Do you want the final period of performance to read 5/31/00, which would be the second year option?
- 2. Modification P00005 exercised option 1 to go through 28 FEB 1999, did you want this date revised also to read 5/31/99?

I want to make sure I am making the correct changes that you indicate to me. Thanks in advance, Sharon

Please return e-mail message ASAP.

Sharon

Sharon L. Marshall

ON 254

(703) 696-2607

e-mail address: marshasl@onr.navy.mil

----Original Message—
From: Hawkins, Harold
Sent: Friday, April 24, 1998 11:29 AM
To: Marshall, Sharon
Cc: Hawkins, Harold; 'ar'
Subject: Extending period of performance for N00014-95-1-0521

Sharon: Please change the period of performance for the subject contract so that the end date is 5/31/00 rather than the current 2/28/00. This modification is needed to accomodate a change in funding rate initiated by our MURI office to make it consistent with documented expenditure rates.

Thanks for your help in this.

Azriel: please acknowledge receipt of a copy of this note.

Harold Hawkins, Program Officer

# Marshall, Sharon

From:

Hawkins, Harold

Sent:

Friday, April 24, 1998 11:29 AM

To:

Marshall, Sharon Hawkins, Harold; 'ar'

ned Carification on Jewoid 3 Jevl e-noil message 4/28/58

Cc: Subject:

Extending period of performance for N00014-95-1-0521

Sharon: Please change the period of performance for the subject contract so that the end date is 5/31/00 rather than the current 2/28/00. This modification is needed to accommodate a change in funding rate initiated by our MURI office to make it consistent with documented expenditure rates. Thanks for your help in this.

Azriel: please acknowledge receipt of a copy of this note.

Harold Hawkins, Program Officer

696-4323

SCOTTL / PRODUCT 19-DEC-1997 98PR02995-01

Previous PR Number: 98PR02995-00

Title: Appearance-based Vision for Complex Systems

Award Number: N000149510521 Short Contractor Code: mryc Modification Number: P00005 Size and Type of Business: 1st

Nonprofit-Educ./Not Historically

Black/State Controlled

P.I. Name: Azriel Rosenfeld

Performer: UNIVERSITY OF MARYLAND

COLLEGE PARK CAMPUS COLLEGE PARK, MD 20742

P.O. Name: Harold Hawkins

P.O. Code: 342PS P.O. Phone: (703) 696-4323 Point of Contact: Dotu Eleanor Phone: (415) 812-4035

Grants Officer: Sharon Marshall

Current Award Start Date: 01-MAR-95
Current Award End Date: 31-MAY-98
P.O. Requested Start Date: 01-JAN-98
P.O. Requested End Date: 31-MAY-00

PR Type: Incremental Funding

Internal Coordinator(s): Phone:

Instrument Type: Grant
Basis For Selection: (rfp)

CBD Date:

Current Funding:

PA COST

 ACRN
 APPN
 SBHD
 OBJ
 RM
 BCN
 SA
 AAA
 TT
 PAA
 CODE
 AMOUNT

 AG
 9780400
 WBBB
 000
 RA
 35
 3 068342
 2D 000000 R1103 000 URIC
 \$288,000.00

FRC: URIC Expiration: 30-SEP-98

AH 9780400 WBBB 000 RA 35 3 068342 2D 000000 R1103 000 URIQ \$287,000.00

FRC: URIQ Expiration: 30-SEP-98

Future Funding:

FY FRC NEG START DATE AMOUNT
2000 \$ 0.00

PR Status: Approved (Active)

Equipment Cost (Current Year): \$ .00

SCOTTL / PRODUCT 19-DEC-1997 98PR02995-01

 Cumulative Total Award Value:
 \$ 4,600,000.00

 Total Value of PR:
 \$ 575,000.00

 Increment Value:
 \$ 575,000.00

 Modification Negotiated Value:
 \$ 1,980,881.00

### Short Work Statement

Two novel approaches to appearance-based vision will be investigated in this Multidisciplinary URI, featuring integrated treatment of objects and backgrounds in images. Using these approaches, algorithms will be developed for recognition of humans in action and recognition of vehicles.

#### BPS: Submission, Evaluation, Cost

This proposal was received and evaluated in accordance with the DoD Multidisciplinary Research Program of the University Research Initiative announcement of 02 June 1994 published in the COMMERCE BUSINESS DAILY. Grant award is recommended based on evaluation of the proposal in accordance with the broad agency announcement. Financial Considerations: Labor - The proposed quantities and types of labor (including any consultants and labor provided by subgrantee) are reasonable and necessary for accomplishing the research project. The proposed labor rates are in line with standard academic or industrial practice for research of this type. Any consulting at a rate in excess of \$500.00 per day is justified in the "Additional Consultant Information" text item.

#### BPS: Submission, Evaluation, Cost

Other Direct Costs—Other proposed direct costs (such as supplies, equipment, ADP, travel, etc.) are reasonable and necessary for accomplishing the research project. I have reviewed and accepted the proposed budget subject to the grant/contracting officer's verification of rates in indirect costs. Where changes to the original budget were necessary I have had the principal investigator submit a revised budget through official university channels and that revised budget is enclosed. The proposal appears to be fair, reasonable, in the interest of the government, and is consistent with other previous and current efforts of this nature.

### Extended Work Statement

Two novel approaches to appearance-based vision will be investigated in this Multidisciplinary URI, one featuring integrated treatment of objects, the other of backgrounds in images. Using these approaches, algorithms will be developed for two applications: recognition of humans in action and recognition of vehicles.

SCOTTL / PRODUCT 19-DEC-1997 98PR02995-01

SCOTTL / PRODUCT 21-NOV-1997 98PR02995-00

PR Number: 98PR02995-00

Previous PR Number: 97PR04870-00

Title: Appearance-based Vision for Complex Systems

Award Number: N000149510521 Short Contractor Code: mryc Modification Number: P00004 Size and Type of Business: 1s

Nonprofit-Educ./Not Historically

Black/State Controlled

P.I. Name: Azriel Rosenfeld

Performer: UNIVERSITY OF MARYLAND

COLLEGE PARK CAMPUS COLLEGE PARK, MD 20742

P.O. Name: Harold Hawkins

P.O. Code: 342PS P.O. Phone: (703) 696-4323

Point of Contact: Chanley Kim Phone: (619) 594-2511

Grants Officer: Sharon Marshall

Current Award Start Date: 01-MAR-95
Current Award End Date: 31-MAY-98
P.O. Requested Start Date: 01-DEC-97
P.O. Requested End Date: 31-MAY-98

PR Type: Incremental Funding

Internal Coordinator(s):
Phone:

Instrument Type: Grant
Basis For Selection: (rfp)

CBD Date:

Current Funding:

 ACRN
 APPN
 SBHD
 OBJ
 RM
 BCN
 SA
 AAA
 TT
 PAA
 CODE
 AMOUNT

 AG
 9780400
 WBBB
 000
 RA
 35
 3 068342
 2D 000000 R1103 000 URIC
 \$287,000.00

FRC: URIC Expiration: 30-SEP-98

AH 9780400 WBBB 000 RA 35 3 068342 2D 000000 R1103 000 URIQ \$288,000.00

FRC: URIQ Expiration: 30-SEP-98

Future Funding:

FY FRC NEG START DATE AMOUNT

2000 \$ 575,000.00
1999 \$ 575,000.00

PR Status: Approved (Active)

Equipment Cost (Current Year): \$ .00

SCOTTL / PRODUCT 21-NOV-1997 98PR02995-00

 Cumulative Total Award Value:
 \$ 4,600,000.00

 Total Value of PR:
 \$ 575,000.00

 Increment Value:
 \$ 575,000.00

 Modification Negotiated Value:
 \$ 8,661,026.00

#### Short Work Statement

Two novel approaches to appearance-based vision will be investigated in this Multidisciplinary URI, featuring integrated treatment of objects and backgrounds in images. Using these approaches, algorithms will be developed for recognition of humans in action and recognition of vehicles.

#### BPS: Submission, Evaluation, Cost

This proposal was received and evaluated in accordance with the DoD Multidisciplinary Research Program of the University Research Initiative announcement of 02 June 1994 published in the COMMERCE BUSINESS DAILY. Grant award is recommended based on evaluation of the proposal in accordance with the broad agency announcement. Financial Considerations: Labor - The proposed quantities and types of labor (including any consultants and labor provided by subgrantee) are reasonable and necessary for accomplishing the research project. The proposed labor rates are in line with standard academic or industrial practice for research of this type. Any consulting at a rate in excess of \$500.00 per day is justified in the "Additional Consultant Information" text item.

# BPS: Submission, Evaluation, Cost

Other Direct Costs—Other proposed direct costs (such as supplies, equipment, ADP, travel, etc.) are reasonable and necessary for accomplishing the research project. I have reviewed and accepted the proposed budget subject to the grant/contracting officer's verification of rates in indirect costs. Where changes to the original budget were necessary I have had the principal investigator submit a revised budget through official university channels and that revised budget is enclosed. The proposal appears to be fair, reasonable, in the interest of the government, and is consistent with other previous and current efforts of this nature.

#### Comments

This is a FY94 MURI.

# Extended Work Statement

Two novel approaches to appearance-based vision will be investigated in this Multidisciplinary URI, one featuring integrated treatment of objects, the other of backgrounds in images. Using these approaches, algorithms will be developed for two applications: recognition of humans in action and recognition of vehicles.

SCOTTL / PRODUCT 21-NOV-1997 98PR02995-00

WESTONA / PRODUCT 18-FEB-1997 97PR04870-00

PR Number: 97PR04870-00

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Previous PR Number: 96PR05199-01

Title: Appearance-based Vision for Complex Systems

Award Number: N000149510521 Short Contractor Code: mryc Modification Number: P00003 Size and Type of Business: 1s

Nonprofit-Educ./Not Historically

Black/State Controlled

P.I. Name: Azriel Rosenfeld

Performer: UNIVERSITY OF MARYLAND

COLLEGE PARK CAMPUS COLLEGE PARK, MD 20742

P.O. Name: Harold Hawkins

P.O. Code: 342PS P.O. Phone: (703) 696-4323

Point of Contact: Snyderman Ralph Phone: (919) 684-6278

Current Award Start Date: 01-MAR-95
Current Award End Date: 28-FEB-98
P.O. Requested Start Date: 01-MAR-97
P.O. Requested End Date: 28-FEB-00

PR Type: Incremental Funding

Internal Coordinator(s): Phone:

Instrument Type: Grant

Basis For Selection: (rfp)

CBD Date:

Current Funding:

PA COST

ACRN APPN SBHD OBJ RM BCN SA AAA TT PAA CODE AMOUNT

AE 9770400 WBBB 000 RA 35 3 068342 2D 000000 R1103 000 URIC \$288,000.00

FRC: URIC Expiration: 30-SEP-98

AF 9770400 WBBB 000 RA 35 3 068342 2D 000000 R1103 000 URIQ \$287,000.00

FRC: URIQ Expiration: 30-SEP-98

Future Funding:

FY FRC NEG START DATE AMOUNT

1996 5 01 AUG 96 \$ 1,150,000.00

01-04-77 5 75,000

PR Status: Approved (Active)

Equipment Cost (Current Year): \$ .00

WESTONA / PRODUCT 18-FEB-1997 97PR04870-00

Cumulative Total Award Value: \$ 4,025,000.00
Total Value of PR: \$ 575,000.00
Increment Value: \$ 575,000.00
Modification Negotiated Value: \$ 0.00

#### Notes to Acquisition

This is a MURI jointly funded by ONR and DARPA.

### Short Work Statement

Two novel approaches to appearance-based vision will be investigated in this Multidisciplinary URI, featuring integrated treatment of objects and backgrounds in images. Using these approaches, algorithms will be developed for recognition of humans in action and recognition of vehicles.

## BPS: Submission, Evaluation, Cost

This proposal was received and evaluated in accordance with the DoD Multidisciplinary Research Program of the University Research Initiative announcement of 02 June 1994 published in the COMMERCE BUSINESS DAILY. Grant award is recommended based on evaluation of the proposal in accordance with the broad agency announcement. Financial Considerations: Labor - The proposed quantities and types of labor (including any consultants and labor provided by subgrantee) are reasonable and necessary for accomplishing the research project. The proposed labor rates are in line with standard academic or industrial practice for research of this type. Any consulting at a rate in excess of \$500.00 per day is justified in the "Additional Consultant Information" text item.

### BPS: Submission, Evaluation, Cost

Other Direct Costs- Other proposed direct costs (such as supplies, equipment, ADP, travel, etc.) are reasonable and necessary for accomplishing the research project. I have reviewed and accepted the proposed budget subject to the grant/contracting officer's verification of rates in indirect costs. Where changes to the original budget were necessary I have had the principal investigator submit a revised budget through official university channels and that revised budget is enclosed. The proposal appears to be fair, reasonable, in the interest of the government, and is consistent with other previous and current efforts of this nature.

#### BPS: Overall Merits

This effort will increase our understanding of the mechanisms of scene analysis and object recognition in biological vision.

WESTONA / PRODUCT 18-FEB-1997 97PR04870-00

#### BPS: ONR Mission

If successful, this effort will improve the Navy's capabilities in automatic target recognition and the visual control of robotic systems.

### BPS: Contractor Qualifications

The proposed contractor provides the unique capabilities and experience of the principal investigator as described in the research proposal. The principal investigator would not be available through any other contractor.

# BPS: PI Qualifications

The principal investigator is highly qualified to execute the proposed program based on previous experience, publications, and presentations as described in the proposal.

#### Extended Work Statement

Two novel approaches to appearance-based vision will be investigated in this Multidisciplinary URI, one featuring integrated treatment of objects, the other of backgrounds in images. Using these approaches, algorithms will be developed for two applications: recognition of humans in action and recognition of vehicles.

PR Number: 96PR05199-00

Previous PR Number: 96PR05199-01

Title: Appearance-based Vision for Complex Systems

COMSTOT / PRODUCT 07-MAR-1996 96PR05199-00



Award Number: N000149510521 Short Contractor Code: mryc Modification Number: P00002 Size and Type of Business: 1s

Nonprofit-Educ./Not Historically

Black/State Controlled

P.I. Name: Azriel Rosenfeld

Performer: UNIVERSITY OF MARYLAND

COLLEGE PARK CAMPUS COLLEGE PARK, MD 20742

P.O. Name: Harold Hawkins

P.O. Code: 342PS P.O. Phone: (703) 696-4323

Point of Contact: Seligman Stephanie Phone: (301) 443-6170

Current Award Start Date: 01-MAR-95
Current Award End Date: 28-FEB-98
P.O. Requested Start Date: 01-MAR-96.
P.O. Requested End Date: 31-DEC-96

PR Type: Incremental Funding

Internal Coordinator: Phone:

Instrument Type: Grant
Basis For Selection: (rfp)

CBD Date:

Funding Information:

PA	COST	
ACRN APPN SBHD OBJ RM BCN SA AAA	TT PAA CODE	AMOUNT
AB 9760400 WBBB 000 RA 35 3 068342	2D 000000 R1103 000 URIQ	\$575,000.00
FRC: URIQ Expiration: 30-SEP-96		
Equipment Cost (Current Year):	\$ .00 .	
Cumulative Total Award Value:	\$ 2,300,000.00	
Total Value of PR:	\$ 575,000.00	
Increment Value:	\$ 575,000.00	
Modification Negotiated Value:	\$15,484,028.00	
Future Funding:	\$ 0.00	

COMSTOT / PRODUCT 07-MAR-1996 96PR05199-00

#### Short Work Statement

Two novel approaches to appearance-based vision will be investigated inthis Multidisciplinary URI, featuring integrated treatment of objects and bacgrounds in images. Using these approaches, algorithms will be developed for recognition of humans in action and recognition of vehicles.

# BPS: Submission, Evaluation, Cost

This proposal was received and evaluated in accordance with the DoD Multidisciplinary Research Program of the University Research Initiative announcement of 02 June 1994 published in the COMMERCE BUSINESS DAILY. Grant award is recommended based on evaluation of the proposal in accordance with the broad agency announcement. Financial Considerations: Labor - The proposed quantities and types of labor (including any consultants and labor provided by subgrantee) are reasonable and necessary for accomplishing the research project. The proposed labor rates are in line with standard academic or industrial practice for research of this type. Any consulting at a rate in excess of \$500.00 per day is justified in the "Additional Consultant Information" text item.

### BPS: Submission, Evaluation, Cost

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# **BPS:** Overall Merits

This effort will increase our understanding of the mechanisms of scene analysis and object recognition in biological vision.

#### BPS: ONR Mission

If successful, this effort will improve the Navy's capabilities in automatic target recognition and the visual control of robotic systems.

COMSTOT / PRODUCT 07-MAR-1996 96PR05199-00

# BPS: Contractor Qualifications

The proposed contractor provides the unique capabilities and experience of the principal investigator as described in the research proposal. The principal investigator would not be available through any other contractor.

#### BPS: PI Qualifications

The principal investigator is highly qualified to execute the proposed program based on previous experience, publications, and presentations as described in the proposal.

### Extended Work Statement

Two novel approaches to appearance-based vision will be investigated in this Multidisciplinary URI, one featuring integrated treatment of objects, the other of backgrounds in images. Using these approaches, algorithms will be developed for two applications: recognition of humans in action and recognition of vehicles.

PR Number: 96PR05199-01

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Previous PR Number: 96PR05199-00

Title: Appearance-based Vision for Complex Systems

COMSTOT / PRODUCT 07-MAR-1996 96PR05199-01



Award Number: N000149510521 Short Contractor Code: mryc Modification Number: P00002 Size and Type of Business: 1st

Nonprofit-Educ./Not Historically

Black/State Controlled

P.I. Name: Azriel Rosenfeld

Performer: UNIVERSITY OF MARYLAND

COLLEGE PARK CAMPUS COLLEGE PARK, MD 20742

P.O. Name: Harold Hawkins

P.O. Code: 342PS P.O. Phone: (703) 696-4323

Point of Contact: Seligman Stephanie Phone: (301) 443-6170

Current Award Start Date: 01-MAR-95
Current Award End Date: 28-FEB-98
P.O. Requested Start Date: 01-MAR-96;
P.O. Requested End Date: 31-DEC-96

PR Type: Incremental Funding

Internal Coordinator: Phone:

Instrument Type: Grant
Pagis For Sologtion: (rf

Basis For Selection: (rfp)

CBD Date:

Funding Information:

				PA						COST	
ACRN	APPN	SBHD	OBJ	RM	BCN	SA	AAA	TT	PAA	CODE	AMOUNT
	60400 URIC							2D	000000	R1103 000 URIC	\$575,000.00
Equip	ment C	ost (C	urren	nt Y	(ear	:		\$		.00	

 Cumulative Total Award Value:
 \$ 2,300,000.00

 Total Value of PR:
 \$ 575,000.00

 Increment Value:
 \$ 575,000.00

 Modification Negotiated Value:
 \$15,484,028.00

 Future Funding:
 \$ 0.00

COMSTOT / PRODUCT 07-MAR-1996 96PR05199-01

# Short Work Statement

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Two novel approaches to appearance-based vision will be investigated inthis Multidisciplinary URI, featuring integrated treatment of objects and bacgrounds in images. Using these approaches, algorithms will be developed for recognition of humans in action and recognition of vehicles.

#### BPS: Submission, Evaluation, Cost

This proposal was received and evaluated in accordance with the DoD Multidisciplinary Research Program of the University Research Initiative announcement of 02 June 1994 published in the COMMERCE BUSINESS DAILY. Grant award is recommended based on evaluation of the proposal in accordance with the broad agency announcement. Financial Considerations: Labor - The proposed quantities and types of labor (including any consultants and labor provided by subgrantee) are reasonable and necessary for accomplishing the research project. The proposed labor rates are in line with standard academic or industrial practice for research of this type. Any consulting at a rate in excess of \$500.00 per day is justified in the "Additional Consultant Information" text item.

### BPS: Submission, Evaluation, Cost

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#### BPS: Overall Merits

This effort will increase our understanding of the mechanisms of scene analysis and object recognition in biological vision.

### BPS: ONR Mission

If successful, this effort will improve the Navy's capabilities in automatic target recognition and the visual control of robotic systems.

COMSTOT / PRODUCT 07-MAR-1996 96PR05199-01

### BPS: Contractor Qualifications

The proposed contractor provides the unique capabilities and experience of the principal investigator as described in the research proposal. The principal investigator would not be available through any other contractor.

#### BPS: PI Oualifications

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The principal investigator is highly qualified to execute the proposed program based on previous experience, publications, and presentations as described in the proposal.

#### Extended Work Statement

Two novel approaches to appearance-based vision will be investigated in this Multidisciplinary URI, one featuring integrated treatment of objects, the other of backgrounds in images. Using these approaches, algorithms will be developed for two applications: recognition of humans in action and recognition of vehicles.

,	This grant expires by:	30 95!!
+-	expires by.	
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i R	E T PROJECT CODE 420	301002 PREV R & T PROJECT CODE 420301001
1	PR SUBMISSION DATE 24	
1	CONTRACT NUMBER NOO	0149510521 CONTRACT MOD NUMBER DOOD
15	TART DATE OF CURRENT CON	TRACT 01 MAR 1995 END DATE 28 FEB 1998
1	REQUESTED START	DATE 01 JUL 1995 END DATE 28 FEB 1998
1	NEGOTIATED START	DATE OI JUL 1995
1		
1	CONTRACTOR NAME	UNIVERSITY OF MARYLAND
1	SHORT CONTRACTOR CODE	mryc SIZE AND TYPE BUSINESS CODE 1s
1	CONTRACTOR STREET	COLLEGE PARK CAMPUS
1	CONTRACTOR CITY	COLLEGE PARK

PR TYPE CODE (1) INSTRUMENT TYPE CODE BASIS FOR SELECTION sol (2) (3) EQUIPMENT TITLE

BRANCH HEAD APPROVAL OF SOURCE JUST./RFP BRANCH HEAD COMMENTS ON SOURCE JUSTIFICATIONS

5/26/95

CONTRACTOR ZIP CODE

20742

SECTION LEADER USERID SECTION LEADER NAME DATE SECTION LEADER ASSI

CONTRACTOR STATE

NEGOTIATOR USERID NEGOTIATOR NAME

IDATE NEGOTIATOR ASSIGNED PREVIOUS NEGOTIATOR NAME

IPCO USERID S.O. NAME

Hawkins S.O. USERID

Coleman

NAME

SECTION LEADER CODE

ASSIGN WEIGHT

NEGOTIATOR CODE

, Curtissa

, Harold , L

S.O. CODE

4025000.00 3450,0001 TOTAL CUMULATIVE VALUE OF CURRENT CONTRACT 575000.00 TOTAL OBLIGATED FUNDING ON CURRENT CONTRACT 575000.00 PREV NEG INCREMENTAL FUNDS PROVIDED BY THIS PR 0.00 NEW FUNDS BEING NEGOTIATED INTO CURRENT CONTRACT CURRENTLY NEGOTIATED NEW FUNDS PROVIDED BY THIS PR 0.00 2875000.00 23000 TOTAL EXPECTED TO BE OBLIGATED ON FUTURE ACTIONS PROGRAM FUNDS FUNDS CSS FUNDS FRC INCREMENT DUE DATE ACRN YEAR a 01 JUL 1995 AB 0.00 1994 575000.00 a 344 01 DEC 1995 0.00 uric 1995 575000.00 0.00 01 MAR 1996 575000.00 1996 0.00 575000.00 01 DEC 1996 01 MAR 1997 0.00 1150000.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00

1498 TITLE (u) Appearance-based Vision for Complex Systems

REPORTS FREQUENCY CODE sem

PI NAME Azriel Rosenfeld PI TELEPHONE (301) 405 4526 EXT

CONTRACT PROPOSAL/REQUISTION NUMBER

ONR PROPSOAL NUMBER

PATENTS INTEREST CODE

CLASSIFICATION DD 254

DATE OF DD 254

Notes to 600:

- 1. This is a grant that will be equally funded by ONR and ARPA. Here I've included ARPA's portion of the first year of funding.
- 2. This was written as a 3 year grant with two additional 1-year options. The funding profile is as follows: FY94 funding: 575000 from ONR; 575000 from ARPA: FY95 funding: 575000 from ONR; 575000 from ARPA: FY96 funding: 575000 from ONR; 575000 from ARPA:Option 1: FY97 funding: 575000 from ONR; 575000 from ARPA:Option 2: FY98 funding: 575000 from ONR; 575000 from ARPA.

#### Short Work Statement:

Two novel approaches to appearance-based vision will be investigated in this Multidisciplinary URI, featuring integrated treatment of objects and bacgrounds in images. Using these approaches, algorithms will be developed for recognition of humans in action and recognition of vehicles.

### Extended Work Statement:

Two novel approaches to appearance-based vision will be investigated in this Multidisciplinary URI, one featuring integrated treatment of objects, the other of backgrounds in images. Using these approaches, algorithms will be developed for two applications: recognition of humans in action and recognition of vehicles.

BPS: Submission, Eval, Cost/JA: Desc:

This proposal was received and evaluated in accordance with the DoD Multidisciplinary Research Program of the University Research Initiative announcement of 02 June 1994 published in the COMMERCE BUSINESS DAILY. Grant award is recommended based on evaluation of the proposal in accordance with the broad agency announcement.

Financial Considerations:

Labor -

The proposed quantities and types of labor (including any consultants and labor provided by subgrantee) are reasonable and necessary for accomplishing the research project.

The proposed labor rates are in line with standard academic or

25 MAY 1995 4203010---02 Page 2

. , industrial practice for research of this type. Any consulting at a rate in excess of \$500.00 per day is justified in the "Additional Consultant Information" text item.

Other Direct Costs-Other proposed direct costs (such as supplies, equipment, ADP, travel, etc.) are reasonable and necessary for accomplishing the research project.

I have reviewed and accepted the proposed budget subject to the grant/contracting officer's verification of rates in indirect costs. Where changes to the original budget were necessary I have had the principal investigator submit a revised budget through official university channels and that revised budget is enclosed. The proposal appears to be fair, reasonable, in the interest of the government, and is consistent with other previous and current efforts of this nature.

BPS:Overall Merits/JA:Contr Quals:

This effort will increase our understanding of the mechanisms of scene analysis and object recognition in biological vision.

BPS:ONR Mission/JA: Enhance Comp: If successful, this effort will improve the Navy's capabilities in automatic target recognition and the visual control of robotic systems.

BPS: Contr Quals/JA: Noncomp Justfctn:

The proposed contractor provides the unique capabilities and experience of the principal investigator as described in the research proposal. The principal investigator would not be available through any other contractor.

BPS: PI Quals/JA: Improve Comp:

The principal investigator is highly qualified to execute the proposed program based on previous experience, publications, and presentations as described in the proposal.

1498 Technical Objective:

The objective of this MURI effort is to exploit multiple approaches to image analysis to develop novel algorithms for scene segmentation and automatic object recognition.

1498 Approach:

Algorithms will be developed exploiting novel approaches to object and background analysis, and these algorithms will be evaluated using rigorous performance characterization protocols. The approaches will be extended beyond to visible domain to include IR and LADAR imagery.

1498 Progress:

This is a new start.

Old Short Work Statement:

Two novel approaches to appearance-based vision will be investigated in this Multidisciplinary URI, featuring integrated treatment of objects and bacgrounds in images. Using these approaches, algorithms will be developed for recognition of humans in action and recognition of vehicles.

Old Extended Work Statement: Two novel approaches to appearance-based vision will be investigated in this Multidisciplinary bal, one featuring integrated treatment of objects, the other of backgrounds in images. Using these approaches, algorithms will be developed for two applications: recognition of humans in action and recognition of vehicles.

Accounting Classification

Stars Pr Number: N0001494PR24cm1

Contractor Information: Short Contractor Code: mryc UNIVERSITY OF MARYLAND OFFICE OF SPONSORED PROGRAMS COLLEGE PARK CAMPUS COLLEGE PARK, MD 20742

Size & Type: 1s Country: US City Code: 18750 State Code: 24 Duns Code: 15807172A DLA Milscap Code: 07439 Payment Dodaad Code: NO0179 ACO Milscap Code: N66020 Cognizant Audit Agency: HS03 TIN: 520710851 PTIN:

Institution Code: 002103

Use EFT: No

# ADVANCED RESEARCH PROJECTS AGENCY 3701 NORTH FAIRFAX DRIVE ARLINGTON, VA 22203-1714

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•	•	le & No: Appea	rance-based V:						
072	8				Proposal Da	te: 03/08/95			·-
2. Thi	s ARPA	Order provides fu	inding in support of	ARPA/ SS	TO P	rogram: MURI			
	]	Provided	Planned	Planned	Pl	anned	Planned		
		FY <u>94</u>	FY <u>96</u>	FY <u>97</u>	F`	Y	FY	_	
		\$575,000	\$575,000	\$575,0	100				
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ARPA Order/Amendment: C635 / 00

<ol><li>ARPA Instruction No. 21 entitled "ARPA/Agent General Requirements" is incorporated herein t</li></ol>
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6.	Points of Contact:	Name/Office/Telephone/Far	x/E-Mail			
	Agent Technical:	Thomas McKenna		ONR	703 6	96-4503
	Fax:	703 696-0103	mckennt@onrhq.onr.n	avy.mil		
	ARPA Technical:	Oscar Firschein		SSTO	(703)	696-2270
	Fax:	(703) 696-2202	oscar@arpa.mil			
	ARPA Business:	Neva Gartrell		SSTO	(703)	696-2257
	Fax:	(703) 696-2202	ngartrell@arpa.mil			

7.	A. Purpose Of Action: New Contract	
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- B. Source Of Selection: F & OC: ONR 3594-8 MURI /94 May Announcement
- C. FFRDC Ceiling: N/A
- D. Tech Report Requirements: Final
- E. SIRMR Approval: Approval Granted
- F. Security Classification: Unclassified
- G. CAAS Category: N/A Object Class: N/A
- H. Pre-contract Costs: N/A

# 8. Remarks:

Non-CAAS -- ARPA has reviewed the work to be performed under this ARPA Order Amendment and determined that it does NOT fall under the definition of "Contracted Advisory and Assistance Services" as identified under DoD Directive 4205.2.

The Federal Information Processing (FIP) resources to be acquired under the action is in direct support of this ARPA Program. A review has been conducted of the technical statements and certifications contained in the proposal, and it has been determined by the Office Director that the acquisition of the FIP resources is a necessary step in the accomplishment of the required research. The ARPA Senior IRM Representative has reviewed the supporting documentation and has granted approval for the acquisition, not to exceed \$314,340 pending non-availability certification by the Defense Logistics Agency.

ARPA REPORTING REQUIREMENTS: Only ONE copy of each report should be sent to SSTO, addressed to the program manager. Copies of these reports should NOT be sent to other ARPA offices or Defense Finance & Accounting Service (DFAS), as they are not required.

ARPA Order/Amendment: C635 / 00

# Distribution for Financial Reporting and Billing Instructions

In accordance with DoD Directive 7200.1, the Status of Allotments (Financial Report) and budget execution reports <u>must</u> be forwarded to:

DFAS DAO-DE AFDW/FW Room 1D476, The Pentagon Washington, D.C. 20301-1155

Copies of all obligation documents and modifications <u>must</u> be sent to:

Advanced Research Projects Agency Comptroller Attention: FMD 3701 North Fairfax Drive Arlington, VA 22203-1714

Fax No. (703) 696-2200

# Attachments:

Joint Funding Document, Validation Letter

R & T PROJECT CODE 420301001 PREV R & T PROJECT CODE PR SUBMISSION DATE 11 JAN 1995 DATE CONTRACT RECORD WRITTEN 11 JAN 1995 CONTRACT NUMBER CONTRACT MOD NUMBER START DATE OF CURRENT CONTRACT 01 MAR 1995 END DATE 28 FEB 2000 REQUESTED START DATE 01 MAR 1995 END DATE 28 FEB 2000 NEGOTIATED START DATE 01 MAR 1995
CONTRACTOR NAME UNIVERSITY OF MARYLAND  SHORT CONTRACTOR CODE mryc SIZE AND TYPE BUSINESS CODE 1s  CONTRACTOR STREET COLLEGE PARK CAMPUS  CONTRACTOR CITY COLLEGE PARK  CONTRACTOR STATE MD CONTRACTOR ZIP CODE 20742  REP PH
PR TYPE CODE(1) ne INSTRUMENT TYPE CODE grt (2) if BASIS FOR SELECTION sol (3) EQUIPMENT TITLE con
BRANCH HEAD APPROVAL OF SOURCE JUST./RFP    BRANCH HEAD COMMENTS ON SOURCE JUSTIFICATIONS 1/17/95
SECTION LEADER USERID SECTION LEADER NAME DATE SECTION LEADER ASSIGNED  NEGOTIATOR USERID NEGOTIATOR NAME DATE NEGOTIATOR ASSIGNED PREVIOUS NEGOTIATOR NAME PCO USEPID  S.O. NAME Hawkins S.O. USERID harold4  SECTION LEADER CODE ASSIGN WEIGHT  NEGOTIATOR CODE NEGOTIATOR CODE NEGOTIATOR CODE NEGOTIATOR CODE NEGOTIATOR CODE S.O. NAME S.O. CODE S.O. CODE S.O. CODE S.O. CODE S.O. CODE S.O. CODE
TOTAL CUMULATIVE VALUE OF CURRENT CONTRACT

1498 TITLE (u) Appearance-based Vision for Complex Systems

REPORTS FREQUENCY CODE sem

PI NAME Azriel Rosenfeld
PI TELEPHONE (301) 405 4526 EXT

CONTRACT PROPOSAL/REQUISTION NUMBER

ONE PROPSOAL NUMBER 94442--0191

PATENTS INTEREST CODE

CLASSIFICATION DD 254

DATE OF DD 254

Notes to 600:

- 1. This initiates a grant that will be equally funded by ONR and ARPA. Here I've included only the ONR money because I have not yet received the APPA money. When it comes I'll do an incremental PR.
- 2. This is to be written as a 3 year grant with two additional 1-year options. The funding profile is as follows: FY94 funding: 575000 from ONR; 575000 from ARPA: FY95 funding: 575000 from ONR; 575000 from ARPA: FY96 funding: 575000 from ONR; 575000 from ARPA: Option 1: FY97 funding: 575000 from ONR; 575000 from ONR;

#### Short Work Statement:

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### Extended Work Statement:

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BPS: Submission, Eval, Cost/JA: Desc:

This proposal was received and evaluated in accordance with the DoD Multidisciplinary Research Program of the University Research Initiative announcement of 02 June 1994 published in the COMMERCE BUSINESS DAILY. Grant award is recommended based on evaluation of the proposal in accordance with the broad agency announcement.

#### Financial Considerations:

#### Labor -

The proposed quantities and types of labor (including any consultants and labor provided by subgrantee) are reasonable and necessary for accomplishing the research project.

The proposed labor rate in line with standar academic or industrial practice for research of this type.

Any consulting at a rate in excess of \$500.00 per day is justified in the "Additional Consultant Information" text item.

Other Direct Costs-

Other proposed direct costs (such as supplies, equipment, ADP, travel, etc.) are reasonable and necessary for accomplishing the research project.

I have reviewed and accepted the proposed budget subject to the grant/contracting officer's verification of rates in indirect costs. Where changes to the original budget were necessary I have had the principal investigator submit a revised budget through official university channels and that revised budget is enclosed. The proposal appears to be fair, reasonable, in the interest of the government, and is consistent with other previous and current efforts of this nature.

BPS: Overall Merits/JA: Contr Ouals:

This effort will increase our understanding of the mechanisms of scene analysis and object recognition in biological vision.

BPS:ONR Mission/JA: Enhance Comp:

If successful, this effort will improve the Navy's capabilities in automatic target recognition and the visual control of robotic systems.

BPS:Contr Quals/JA:Noncomp Justfctn:

The proposed contractor provides the unique capabilities and experience of the principal investigator as described in the research proposal. The principal investigator would not be available through any other contractor.

BPS:PI Quals/JA: Improve Comp:

The principal investigator is highly qualified to execute the proposed program based on previous experience, publications, and presentations as described in the proposal.

1498 Technical Objective:

The objective of this MURI effort is to exploit multiple approaches to image analysis to develop novel algorithms for scene segmentation and automatic object recognition.

1498 Approach:

Algorithms will be developed exploiting novel approaches to object and background analysis, and these algorithms will be evaluated using rigorous performance characterization protocols. The approaches will be extended beyond to visible domain to include IR and LADAR imagery.

Accounting Classification

ACRN-FRC-PY----APPN-----SUBHD-OBJ-BCN-SA--AAA---TT--PAA----COST CODE-----PE-uric 1994 9740400 .1104 025 RA013 0 068342 2D 61103D R1103000URIC 0601103

Funds Source Title: URI1RDDA

Source for Initialization: NO001494FD001-38

Date Funds Expire: 30 SEP 1995

Stars ?r Number: N0001494PR24cE4

Contractor Information: Short Contractor Code: mryc UNIVERSITY OF MARYLAND OFFICE OF SPONSORED PROGRAMS COLLEGE PARK CAMPUS COLLEGE PARK, MD 20742

Size & Type: 1s
Country: US
City Code: 18750
State Code: 24
Duns Code: 15807172A
DLA Milscap Code: 07439
Payment Dodaad Code: N00179
ACD Milscap Code: N66020
Cognizant Audit Agency: HS03
TIN: 520710851
PTIN:
Institution Code: 002103
Use EFT: No

R&T Project Code: 4203010---01

Unclassified

# CLEARANCE MEMORANDUM GRANTS WITH EDUCATIONAL AND NONPROFIT INSTITUTIONS

GRANTEE: UNIVERSITY OF MARYLAND

OFFICE OF SPONSORED PROGRAMS COLLEGE PARK CAMPUS COLLEGE PARK, MD 20742 GRANT NO: N00014-95-1-0521

AUTHORITY: (X) 10 USC 2358, as amended, and 31 U.S.C. 6304.

1. Selection Procedure: ( ) Broad Agency Announcement

(X) Other

This proposal was received in response to the ONR announcement of research interest published in the COMMERCE BUSINESS DAILY of 02 JUNE 1994. Award is recommended based on evaluation of the proposal in accordance with the following criteria (BPS items 1-6):

2. Proposal Identification:

Title: Appearance-based Vision for Complex Systems

Number: 94442--0191 Date: 04 AUG 1994 As Revised:

- 3. Principal Investigator: Azriel Rosenfeld
- 4. Instrument: Domestic Research Grant
- 5. Description of Work: The Grantee's proposed work description is incorporated by reference.
- Analysis of Cost/Price:
   A copy of the proposed budget is attached.
  - (A) The Scientific Officer has reviewed the quantities and types of labor, including consultants and subgrantee labor, and also the other direct cost items (such as travel, equipment, supplies, materials, ADP, etc.) and has determined them to be appropriate for the proposed research.
  - (B) The negotiator has reviewed the budget and determined that the other direct cost items are acceptable based on the following:

The Grantee and the subcontractors have provided a detailed budget and a budget justification outlining the intended use for each other direct cost category which is attached.

I one ye options @ \$1,150,000 each are included in the grout.

(C) The negotiator has reviewed the proposed indirect costs as noted below:

(i) Fringe Benefit Rate: The proposed rate(s) is/are in accordance with current fixed rates or prospective forward pricing rate(s) and are correctly applied to the proper base. The acceptable rate(s) is/are:

UMD: 25% MIT: 43.1%

UWA: Faculty-22%; GS-8%; Prof Staff-26%, Hrly-10%

(ii) Indirect Cost Rate: The Grantee has the proposed indirect costs which are in accordance with current polices or as prospectively agreed to until a future negotiation agreement takes effect. The rate(s) is/are correctly applied to the proper base. The acceptable rate(s) is/are:

UMD: 48%

MIT: 52.%, with approved .5% increases each year.

UWA: 48.5%

7. Other Negotiated Terms and Conditions:

New Grant:

A. Negotiated Grant Value: \$1,725,000.00 Increment value, if applicable: \$575,000.00 Period covered by increment: 01 MAR 1995 to 30 NOV 1995.

B. Period of Performance: 01 MAR 1995 to 28 FEB 1998.

# 8. Certifications:

The appropriate certifications have been submitted by the Grantee and are located in the grant file.

NEGOTIATIONS CONDUCTED WITH: Evan L. Crierie

CONCLUDED ON: 20 FEB 1995 PHONE: (301) 405-6269

#### 9. Reasonableness:

In the opinion of the undersigned, the terms and conditions of this action appear to be fair, reasonable, and in the interest of the Government.



251 (703) 696-4516 CODE TELEPHONE NUMBER